

## **Computer Vision - Lecture 9**

### **Recognition with Global Representations II**

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Bastian Leibe RWTH Aachen http://www.vision.rwth-aachen.de/

leibe@vision.rwth-aachen.de



### **Course Outline**

- Image Processing Basics
- Segmentation & Grouping
- Recognition
  - > Global Representations
  - Subspace Representations
- Object Categorization I
  - Sliding Window based Object Detection
- Local Features & Matching
- Object Categorization II
  - Part based Approaches
- 3D Reconstruction
- Motion and Tracking

## Recap: Appearance-Based Recognition

- Basic assumption
  - Objects can be represented by a set of images ("appearances").
  - For recognition, it is sufficient to just compare the 2D appearances.
  - No 3D model is needed.





### $\Rightarrow$ Fundamental paradigm shift in the 90's

## **Recap: Recognition Using Histograms**

Histogram comparison





## **Recap: Comparison Measures**

- Vector space interpretation
  - Euclidean distance
  - Mahalanobis distance
- Statistical motivation
  - > Chi-square
  - Bhattacharyya
- Information-theoretic motivation
  - Kullback-Leibler divergence, Jeffreys divergence
- Histogram motivation
  - Histogram intersection
- Ground distance
  - Earth Movers Distance (EMD)





## **Recap: Recognition Using Histograms**

- Simple algorithm
  - 1. Build a set of histograms  $H{=}\{h_i\}$  for each known object
    - More exactly, for each *view* of each object
  - 2. Build a histogram  $h_t$  for the test image.
  - 3. Compare  $h_t$  to each  $h_i \in H$ 
    - Using a suitable comparison measure
  - 4. Select the object with the best matching score
    - Or reject the test image if no object is similar enough.

### "Nearest-Neighbor" strategy



## **Generalization of the Idea**

### • Histograms of derivatives



## **General Filter Response Histograms**

• Any local descriptor (e.g. filter, filter combination) can be used to build a histogram.

Examples:
 Gradient magnitude 
$$Mag = \sqrt{D_x^2 + D_y^2}$$
 Gradient direction  $Dir = \arctan \frac{D_y}{D_x}$ 
 Laplacian  $Lap = D_{xx} + D_{yy}$ 

## **Multidimensional Representations**

- Combination of several descriptors
  - Each descriptor is applied to the whole image.
  - Corresponding pixel values are combined into one feature vector.
  - Feature vectors are collected in multidimensional histogram.





## **Multidimensional Histograms**

• Examples





## **Multidimensional Representations**

- Useful simple combinations
  - >  $D_x D_y$

- **Rotation-variant**
- Descriptor changes when image is rotated.
- Useful for recognizing oriented structures (e.g. vertical lines)

> Mag-Lap

### **Rotation-invariant**

- Descriptor does *not* change when image is rotated.
- Can be used to recognize rotated objects.
- Less discriminant than rotation-variant descriptor.







## Special Case: Multiscale Representations

- Combination of several scales
  - Descriptors are computed at different scales.
  - Each scale captures different information about the object.
  - Size of the support region grows with increasing σ.
  - Feature vectors capture both local details and larger-scale structures.





## **Generalization: Filter Banks**



- What filters to put in the bank?
  - Typically we want a combination of scales and orientations, different types of patterns.

Matlab code available for these examples: <u>http://www.robots.ox.ac.uk/~vgg/research/texclass/filters.html</u>

# Example Application of a Filter Bank



8 response images: magnitude of filtered outputs, per filter

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## **Extension: Colored Derivatives**

• YC<sub>1</sub>C<sub>2</sub> color space





### Color-opponent space

- Inspired by models of the human visual system
- $\succ$  Y = intensity
- >  $C_1 \equiv red-green$
- >  $C_2 \equiv$  blue-yellow



### **Extension: Colored Derivatives**

- Generalization: derivatives along
  - > Y axis  $\rightarrow$  intensity differences
  - >  $C_1$  axis  $\rightarrow$  red-green differences
  - >  $C_2 \text{ axis} \rightarrow \text{blue-yellow differences}$
- Feature vector is rotated such that  $D_v = 0$ 
  - > Rotation-invariant descriptor

### UNIVERSITY Summary: Multidimensional Representations

### • <u>Pros</u>

- Work very well for recognition.
- Usually, simple combinations are sufficient (e.g. D<sub>x</sub>-D<sub>y</sub>, Mag-Lap)
- But multiple scales are very important!
- Generalization: filter banks

### • <u>Cons</u>

- High-dimensional histograms
- Global representation

- $\Rightarrow$  lots of storage space
- $\Rightarrow$  not robust to occlusion

### **RWITHAACHEN** UNIVERSITY You're Now Ready for First Applications...





## **Topics of This Lecture**

- Subspace Methods for Recognition
  - Motivation
- Principal Component Analysis (PCA)
  - > Derivation
  - > Object recognition with PCA
  - Eigenimages/Eigenfaces
  - Limitations

### • Discussion: Global representations for recognition

- Vectors of pixel intensities
- > Histograms
- Localized Histograms
- Application: Image completion

## **Representations for Recognition**

- Global object representations
  - We've seen histograms as one example
  - What could be other suitable representations?



- More generally, we want to obtain representations that are well-suited for
  - Recognizing a certain class of objects
  - Identifying individuals from that class (identification)
- How can we arrive at such a representation?
- Approach 1:
  - Come up with a brilliant idea and tweak it until it works.
- Can we do this more systematically?

## Example: The Space of All Face Images

- When viewed as vectors of pixel values, face images are extremely high-dimensional.
  - > 100x100 image = 10,000 dimensions
- However, relatively few 10,000dimensional vectors correspond to valid face images.
- We want to effectively model the subspace of face images.





### The Space of All Face Images

• We want to construct a low-dimensional linear subspace that best explains the variation in the set of face images



Slide credit: Svetlana Lazebnik



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### **Subspace Methods**

- Idea
  - Represent images as points in a high-dim. vector space
  - Valid images populate only a small fraction of the space
  - Characterize the subspace spanned by images



Slide adapted from Ales Leonardis



### **Subspace Methods**



• Today's topic: PCA

Slide credit: Ales Leonardis



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Subspace Methods for Recognition
 Motivation

### • Principal Component Analysis (PCA)

- > Derivation
- > Object recognition with PCA
- > Eigenimages/Eigenfaces
- Limitations

### • Discussion: Global representations for recognition

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## **Principal Component Analysis**

- Given: N data points  $x_{\scriptscriptstyle 1}$ , ... , $x_N$  in  $R^d$
- We want to find a new set of features that are linear combinations of original ones:

$$u(\mathbf{x}_i) = \mathbf{u}^\top (\mathbf{x}_i - \boldsymbol{\mu})$$

( $\mu$ : mean of data points)

• What unit vector u in R<sup>d</sup> captures the most variance of the data?



## **Principal Component Analysis**

Direction that maximizes the variance of the projected data:

$$var(u) = \frac{1}{N} \sum_{i=1}^{N} \mathbf{u}^{\mathrm{T}} (\mathbf{x}_{i} - \mu) (\mathbf{u}^{\mathrm{T}} (\mathbf{x}_{i} - \mu))^{\mathrm{T}}$$
Projection of data point
$$= \frac{1}{N} \mathbf{u}^{\mathrm{T}} \left[ \sum_{i=1}^{N} (\mathbf{x}_{i} - \mu) (\mathbf{x}_{i} - \mu)^{\mathrm{T}} \right] \mathbf{u}$$
Covariance matrix of data
$$= \frac{1}{N} \mathbf{u}^{\mathrm{T}} \Sigma \mathbf{u}$$
The direction that maximizes the variance is the eigenvector.

> The direction that maximizes the variance is the eigenvector associated with the largest eigenvalue of  $\Sigma$ .

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### **Remember: Fitting a Gaussian**

 Mean and covariance matrix of data define a Gaussian model





### **Interpretation of PCA**

- Compute eigenvectors of covariance  $\Sigma$ .
  - > Eigenvectors: main directions
  - » Eigenvalues: variances along eigenvector



Result: coordinate transform to best represent the variance of the data



### **Interpretation of PCA**

- Now, suppose we want to represent the data using just a single dimension.
  - I.e., project it onto a single axis
  - What would be the best choice for this axis?





### **Interpretation of PCA**

- Now, suppose we want to represent the data using just a single dimension.
  - I.e., project it onto a single axis
  - What would be the best choice for this axis?



- The first eigenvector gives us the best reconstruction.
  - Direction that retains most of the variance of the data.



## **Properties of PCA**

 It can be shown that the mean square error between x<sub>i</sub> and its reconstruction using only m principle eigenvectors is given by the expression:



 $\succ$  where  $\lambda_j$  are the eigenvalues



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- > PCA minimizes reconstruction error
- > PCA maximizes variance of projection
- > Finds a more "natural" coordinate system for the sample data.





X2

Xa

## **Projection and Reconstruction**

• An *n*-pixel image  $x \in \mathbb{R}^n$  can be projected to a low-dimensional feature space  $y \in \mathbb{R}^m$  by

y = Ux

- From *y*∈R<sup>*m*</sup>, the reconstruction of the point is *U*<sup>T</sup>*y*
- The error of the reconstruction is  $||x U^T U x||$



### **Example: Object Representation**





### **Principal Component Analysis**





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# Object Detection by Distance TO Eigenspace

- Is an image window *w* likely to contain a learned object?
  - Project window to subspace and reconstruct as earlier.
  - Compute the distance between *w* and the reconstruction (reprojection error).
  - Local minima of distance over all image locations ⇒ object locations





## **Eigenfaces: Key Idea**

- Assume that most face images lie on a low-dimensional subspace determined by the first k directions of maximum variance (where k < d).
- Use PCA to determine the vectors  $u_1, ... u_k$  that span that subspace:

$$x \approx \mu + w_1 u_1 + w_2 u_2 + \dots + w_k u_k$$

- Represent each face using its "face space" coordinates  $(w_1, ..., w_k)$
- Perform nearest-neighbor recognition in "face space"

M. Turk and A. Pentland, <u>Face Recognition using Eigenfaces</u>, CVPR 1991 <sup>42</sup>
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### **Eigenfaces Example**

Training images
 x<sub>1</sub>,...,x<sub>N</sub>



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### **Eigenfaces Example**

### Top eigenvectors: u<sub>1</sub>,...u<sub>k</sub>

### Mean: µ





#### Slide credit: Svetlana Lazebnik

### **RWTHAACHEN** UNIVERSITY Eigenface Example 2 (Better Alignment)



#### Slide credit: Peter Belhumeur



### **Eigenfaces Example**

• Face x in "face space" coordinates:



$$\mathbf{x} \to [\mathbf{u}_1^{\mathrm{T}}(\mathbf{x} - \mu), \dots, \mathbf{u}_k^{\mathrm{T}}(\mathbf{x} - \mu)]$$
$$= w_1, \dots, w_k$$



### **Eigenfaces Example**

• Face x in "face space" coordinates:



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$$\mathbf{x} \to [\mathbf{u}_1^{\mathrm{T}}(\mathbf{x} - \mu), \dots, \mathbf{u}_k^{\mathrm{T}}(\mathbf{x} - \mu)]$$
$$= w_1, \dots, w_k$$

### Reconstruction:



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## **Recognition with Eigenspaces**

- Process labeled training images:
  - > Find mean  $\mu$  and covariance matrix  $\Sigma$
  - > Find k principal components (eigenvectors of  $\Sigma$ )  $u_1,...u_k$
  - Project each training image x<sub>i</sub> onto subspace spanned by principal components:
    - $(w_{i1},...,w_{ik}) = (u_1^T(x_i \mu), ..., u_k^T(x_i \mu))$
- Given novel image x:
  - > Project onto subspace:
    - $(w_1,...,w_k) = (u_1^T(x \mu), ..., u_k^T(x \mu))$
  - Optional: check reconstruction error x x̂ to determine whether image is really a face
  - Classify as closest training face in k-dimensional subspace

### **RWTHAACHEN** UNIVERSITY Obj. Identification by Distance IN Eigenspace

- Objects are represented as coordinates in an *n*-dim. eigenspace.
- Example:
  - > 3D space with points representing individual objects or a manifold representing parametric eigenspace (e.g., orientation, pose, illumination).



### Estimate parameters by finding the NN in the eigenspace



### **Parametric Eigenspace**







- Object identification / pose estimation
  - Find nearest neighbor in eigenspace [Murase & Nayar, IJCV'95]

# Applications: Recognition, Pose Estimation





H. Murase and S. Nayar, Visual learning and recognition of 3-d objects from appearance, IJCV 1995



### **Applications: Visual Inspection**



S. K. Nayar, S. A. Nene, and H. Murase, <u>Subspace Methods for Robot Vision</u>, IEEE Transactions on Robotics and <u>Automation</u>, 1996. B. Leibe



### Important Footnote

- Don't really implement PCA this way!
   Why?
- 1. How big is  $\Sigma$ ?
  - >  $n \times n$ , where *n* is the number of pixels in an image!
  - > However, we only have m training examples, typically m << n.
  - $\Rightarrow \Sigma$  will at most have rank m!
- 2. You only need the first k eigenvectors

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## Singular Value Decomposition (SVD)

• Any m×n matrix A may be factored such that  $A = U\Sigma V^T$ 

 $[m \times n] = [m \times m][m \times n][n \times n]$ 

- *U*: *m*×*m*, orthogonal matrix
  - > Columns of U are the eigenvectors of  $AA^T$
- *V*: *n*×*n*, orthogonal matrix
  - > Columns are the eigenvectors of  $A^T A$
- $\Sigma: m \times n$ , diagonal with non-negative entries ( $\sigma_1$ ,  $\sigma_2$ ,...,  $\sigma_s$ ) with  $s=\min(m,n)$  are called the singular values.
  - > Singular values are the square roots of the eigenvalues of both  $AA^{T}$  and  $A^{T}A$ . Columns of U are corresponding eigenvectors!
  - ▶ Result of SVD algorithm:  $\sigma_1 \ge \sigma_2 \ge ... \ge \sigma_s$

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### **SVD Properties**

- Matlab: [u s v] = svd(A)
  - > where  $A = u^*s^*v'$
- r = rank(A)
  - Number of non-zero singular values
- U, V give us orthonormal bases for the subspaces of A
  - > first r columns of U: column space of A
  - ▶ last *m*-*r* columns of *U*: *left nullspace* of *A*
  - > first r columns of V: row space of A
  - > last n-r columns of V: nullspace of A
- For d ≤ r, the first d columns of U provide the best ddimensional basis for columns of A in least-squares sense

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## Performing PCA with SVD

- Singular values of A are the square roots of eigenvalues of both AA<sup>T</sup> and A<sup>T</sup>A.
  - > Columns of U are the corresponding eigenvectors.

• And 
$$\sum_{i=1}^{n} a_i a_i^T = [a_1 \ \dots \ a_n] [a_1 \ \dots \ a_n]^T = AA^T$$

Covariance matrix

$$\Sigma = \frac{1}{n} \sum_{i=1}^{n} (\vec{x}_i - \vec{\mu}) (\vec{x}_i - \vec{\mu})^T$$

• So, ignoring the factor 1/n, subtract mean image  $\mu$  from each input image, create data matrix  $A = (\vec{x_i} - \vec{\mu})$ , and perform (thin) SVD on the data matrix.



### Limitations

 Global appearance method: not robust to misalignment, background variation





- Easy fix (with considerable manual overhead)
  - Need to align the training examples



### Limitations

• PCA assumes that the data has a Gaussian distribution (mean  $\mu$ , covariance matrix  $\Sigma$ )



The shape of this dataset is not well described by its principal components
Slide credit: Svetlana Lazebnik
B. Leibe



### Limitations

 The direction of maximum variance is not always good for classification





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### **RWTHAACHEN** UNIVERSITY Feature Extraction: Global Appearance



### • Simple holistic descriptions of image content

> Vector of pixel intensities

# **Eigenfaces: Global Appearance Description**

This can also be applied in a sliding-window framework...



Training images





**Eigenvectors** computed from covariance matrix

Generate lowdimensional representation of appearance with a linear subspace.



**Project new** images to "face space".

**Recognition via** nearest neighbors in face space

#### Slide adapted from Kristen Grauman

### **RWTHAACHEN** UNIVERSITY Feature Extraction: Global Appearance





### Simple holistic descriptions of image content

- > Vector of pixel intensities
- $\Rightarrow$  Pixel based representations sensitive to small shifts!

### **RWTHAACHEN** UNIVERSITY Feature Extraction: Global Appearance





- Vector of pixel intensities
- Grayscale / color histograms
- ⇒ Color or grayscale-based appearance description can be sensitive to illumination and intra-class appearance variation!



Cartoon example: an albino koala

Slide adapted from Kristen Grauman

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### **Gradient-based Representations**

Better: Edges, contours, and (oriented) intensity gradients





## Matching Edge Templates

• Example: Chamfer matching



Input image Edges detected Distance transform Template shape

Best match

At each window position, compute average min distance between points on template (T) and input (I).

$$D_{chamfer}(T,I) \equiv \frac{1}{|T|} \sum_{t \in T} d_I(t)$$

67 [Gavrila & Philomin, ICCV 1999]

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## **Gradient-based Representations**

Improved discriminance: localized gradients



- Summarize local distribution of gradients with histogram
  - Locally orderless: offers invariance to small shifts and rotations
  - Contrast-normalization: try to correct for variable illumination

### Gradient-based Representations: Histograms of Oriented Gradients (HOG)





Map each grid cell in the input window to a histogram counting the gradients per orientation.

### Code available: http://pascal.inrialpes.fr/soft/olt/

Slide credit: Kristen Grauman

[Dalal & Triggs, CVPR 2005]



## **References and Further Reading**

- Background information on PCA can be found in Chapter 22.3 of
  - D. Forsyth, J. Ponce,
     *Computer Vision A Modern Approach*.
     Prentice Hall, 2003
- Important Papers (available on webpage)
  - M. Turk, A. Pentland Eigenfaces for Recognition
    - J. Cognitive Neuroscience, Vol. 3(1), 1991.
  - P.N. Belhumeur, J.P. Hespanha, D.J. Kriegman
     Eigenfaces vs. Fisherfaces: Recognition Using Class Specific
     Linear Projection, IEEE Trans. PAMI, Vol. 19(7), 1997.

