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# Computer Vision – Lecture 5

## Segmentation

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Computer Vision Summer'19

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## Course Outline

- Image Processing Basics
  - Recap: Structure Extraction
- Segmentation
  - Segmentation as Clustering
  - Graph-theoretic Segmentation
- Recognition
  - Global Representations
  - Subspace representations
- Local Features & Matching
- Object Categorization
- 3D Reconstruction

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## Recap: Hough Transform

Image space  $y = m_1 x + b_1$

Hough (parameter) space  $b = -x_0 m + y_0$

- How can we use this to find the most likely parameters  $(m, b)$  for the most prominent line in the image space?
  - Let each edge point in image space vote for a set of possible parameters in Hough space
  - Accumulate votes in discrete set of bins; parameters with the most votes indicate line in image space.

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## Recap: Hough Transf. Polar Parametrization

- Usual  $(m, b)$  parameter space problematic:
  - Can take on infinite values, undefined for vertical lines.

$x \cos \theta + y \sin \theta = d$

$d$ : perpendicular distance from line to origin  
 $\theta$ : angle the perpendicular makes with the x-axis

- Point in image space  $\Rightarrow$  Sinusoid segment in Hough space

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## Recap: Hough Transform for Circles

- Circle: center  $(a, b)$  and radius  $r$ 

$$(x_i - a)^2 + (y_i - b)^2 = r^2$$
- For an unknown radius  $r$ , unknown gradient direction

Image space

Hough space

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## Recap: Generalized Hough Transform

- What if we want to detect arbitrary shapes defined by boundary points and a reference point?

Image space

At each boundary point, compute displacement vector:  
 $r = a - p_i$ .

For a given model shape: store these vectors in a table indexed by gradient orientation  $\theta$ .

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[Dana H. Ballard, Generalizing the Hough Transform to Detect Arbitrary Shapes, 1980]

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## Recap: Generalized Hough Transform

To **detect** the model shape in a new image:

- For each edge point
  - Index into table with its gradient orientation  $\theta$
  - Use retrieved  $r$  vectors to vote for position of reference point
- Peak in this Hough space is reference point with most supporting edges

Displacement vectors based on model shape

Voting locations for test points

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## Topics of This Lecture

- Segmentation and grouping
  - Gestalt principles
  - Image Segmentation
- Segmentation as clustering
  - k-Means
  - Feature spaces
- Probabilistic clustering
  - Mixture of Gaussians, EM
- Model-free clustering
  - Mean-Shift clustering

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## Examples of Grouping in Vision

Grouping video frames into shots

Figure-ground

Determining image regions

What things should be grouped?

What cues indicate groups?

Object-level grouping

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## The Gestalt School

- Grouping is key to visual perception
- Elements in a collection can have properties that result from relationships
  - "The whole is greater than the sum of its parts"

Illusory/subjective contours

Occlusion

Familiar configuration

[http://en.wikipedia.org/wiki/Gestalt\\_psychology](http://en.wikipedia.org/wiki/Gestalt_psychology)

Slide credit: Svetlana Lazebnik

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## Gestalt Theory

- Gestalt: whole or group
  - Whole is greater than sum of its parts
  - Relationships among parts can yield new properties/features
- Psychologists identified series of factors that predispose set of elements to be grouped (by human visual system)

*"I stand at the window and see a house, trees, sky. Theoretically I might say there were 327 brightnesses and nuances of colour. Do I have '327'? No. I have sky, house, and trees."*

Max Wertheimer  
(1880-1943)

Untersuchungen zur Lehre von der Gestalt, *Psychologische Forschung*, Vol. 4, pp. 301-350, 1923  
<http://psy.ed.asu.edu/~classics/Wertheimer/Forms/forms.htm>

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## Gestalt Factors

- Not grouped
- Proximity
- Similarity
- Similarity
- Common Fate
- Common Region

- Parallelism
- Symmetry
- Continuity
- Closure

- These factors make intuitive sense, but are very difficult to translate into algorithms.

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### Continuity through Occlusion Cues

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### Continuity through Occlusion Cues

Continuity, explanation by occlusion

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### Continuity through Occlusion Cues

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Image source: Forsyth & Ponce

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### Continuity through Occlusion Cues

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### The Ultimate Gestalt?

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### Image Segmentation

- Goal: identify groups of pixels that go together

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## The Goals of Segmentation

- Separate image into coherent "objects"

Image

Human segmentation

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- Segmentation as clustering
  - k-Means
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  - Mixture of Gaussians, EM
- Model-free clustering
  - Mean-Shift clustering

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## Image Segmentation: Toy Example

input image

intensity

- These intensities define the three groups.
- We could label every pixel in the image according to which of these primary intensities it is.
  - i.e., segment the image based on the intensity feature.
- What if the image isn't quite so simple?

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Intensity

Intensity

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Intensity

- Now how to determine the three main intensities that define our groups?
- We need to cluster.

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Intensity

- Goal: choose three "centers" as the representative intensities, and label every pixel according to which of these centers it is nearest to.
- Best cluster centers are those that minimize SSD between all points and their nearest cluster center  $c_i$ :

$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

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## Clustering

- With this objective, it is a “chicken and egg” problem:
  - If we knew the *cluster centers*, we could allocate points to groups by assigning each to its closest center.

- If we knew the *group memberships*, we could get the centers by computing the mean per group.

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## K-Means Clustering

- Basic idea: randomly initialize the  $k$  cluster centers, and iterate between the two steps we just saw.
  - Randomly initialize the cluster centers,  $c_1, \dots, c_k$
  - Given cluster centers, determine points in each cluster
    - For each point  $p$ , find the closest  $c_i$ . Put  $p$  into cluster  $i$
  - Given points in each cluster, solve for  $c_i$ 
    - Set  $c_i$  to be the mean of points in cluster  $i$
  - If  $c_i$  have changed, repeat Step 2
- Properties
  - Will always converge to *some* solution
  - Can be a “local minimum”
    - Does not always find the global minimum of objective function:
 
$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

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## Segmentation as Clustering

```

img_as_col = double(im(:));
cluster_membs = kmeans(img_as_col, K);
labelim = zeros(size(im));
for i=1:k
    inds = find(cluster_membs==i);
    meanval = mean(img_as_col(inds));
    labelim(inds) = meanval;
end
  
```

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## K-Means++

- Can we prevent arbitrarily bad local minima?
  - Randomly choose first center.
  - Pick new center with prob. proportional to  $\|p - c_i\|^2$ 
    - (Contribution of  $p$  to total error)
  - Repeat until  $k$  centers.
- Expected error =  $O(\log k)$  \* optimal

[Arthur & Vassilvskii 2007](#)

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## Feature Space

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
- Grouping pixels based on *intensity* similarity

- Feature space: intensity value (1D)

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## Feature Space

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
- Grouping pixels based on *color* similarity

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## Segmentation as Clustering

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
- Grouping pixels based on *texture* similarity

- Feature space: filter bank responses (e.g., 24D)

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## Smoothing Out Cluster Assignments

- Assigning a cluster label per pixel may yield outliers:

- How can we ensure they are spatially smooth?

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## Segmentation as Clustering

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
- Grouping pixels based on *intensity+position* similarity

⇒ Simple way to encode both *similarity* and *proximity*.  
 ⇒ *What could be a problem with this solution?*

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## Summary K-Means

- Pros**
  - Simple, fast to compute
  - Converges to local minimum of within-cluster squared error
- Cons/issues**
  - Setting  $k$ ?
  - Sensitive to initial centers
  - Sensitive to outliers
  - Detects spherical clusters only
  - Assuming means can be computed

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- Segmentation as clustering
  - k-Means
  - Feature spaces
- Probabilistic clustering**
  - Mixture of Gaussians, EM
- Model-free clustering
  - Mean-Shift clustering

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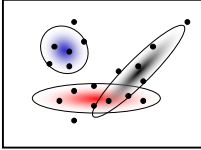
## Probabilistic Clustering

- Basic questions**
  - What's the probability that a point  $x$  is in cluster  $m$ ?
  - What's the shape of each cluster?
- K-means doesn't answer these questions.
- Basic idea**
  - Instead of treating the data as a bunch of points, assume that they are all generated by sampling a continuous function.
  - This function is called a **generative model**.
  - Defined by a vector of parameters  $\theta$

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## Mixture of Gaussians



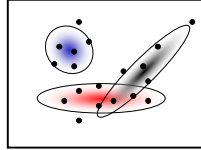
- One generative model is a mixture of Gaussians (MoG)
  - $K$  Gaussian blobs with means  $\mu_j$ , cov. matrices  $\Sigma_j$ , dim.  $D$ 

$$p(\mathbf{x}|\theta_j) = \frac{1}{(2\pi)^{D/2}|\Sigma_j|^{1/2}} \exp\left\{-\frac{1}{2}(\mathbf{x} - \mu_j)^T \Sigma_j^{-1}(\mathbf{x} - \mu_j)\right\}$$
  - Blob  $j$  is selected with probability  $\pi_j$
  - The likelihood of observing  $\mathbf{x}$  is a weighted mixture of Gaussians
 
$$p(\mathbf{x}|\theta) = \sum_{j=1}^K \pi_j p(\mathbf{x}|\theta_j) \quad \theta = (\pi_1, \mu_1, \Sigma_1, \dots, \pi_M, \mu_M, \Sigma_M)$$

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## Expectation Maximization (EM)



- Goal
  - Find blob parameters  $\theta$  that maximize the likelihood function:
 
$$p(\text{data}|\theta) = \prod_{n=1}^N p(\mathbf{x}_n|\theta)$$
- Approach:
  - E-step:** given current guess of blobs, compute ownership of each point
  - M-step:** given ownership probabilities, update blobs to maximize likelihood function
  - Repeat until convergence

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## EM Algorithm

- Expectation-Maximization (EM) Algorithm
  - E-Step:** softly assign samples to mixture components
 
$$\gamma_j(\mathbf{x}_n) \leftarrow \frac{\pi_j \mathcal{N}(\mathbf{x}_n|\mu_j, \Sigma_j)}{\sum_{k=1}^K \pi_k \mathcal{N}(\mathbf{x}_n|\mu_k, \Sigma_k)} \quad \forall j = 1, \dots, K, \quad n = 1, \dots, N$$
  - M-Step:** re-estimate the parameters (separately for each mixture component) based on the soft assignments
 
$$\tilde{N}_j \leftarrow \sum_{n=1}^N \gamma_j(\mathbf{x}_n) = \text{soft number of samples labeled } j$$

$$\hat{\pi}_j^{\text{new}} \leftarrow \frac{\tilde{N}_j}{N}$$

$$\hat{\mu}_j^{\text{new}} \leftarrow \frac{1}{\tilde{N}_j} \sum_{n=1}^N \gamma_j(\mathbf{x}_n) \mathbf{x}_n$$

$$\hat{\Sigma}_j^{\text{new}} \leftarrow \frac{1}{\tilde{N}_j} \sum_{n=1}^N \gamma_j(\mathbf{x}_n) (\mathbf{x}_n - \hat{\mu}_j^{\text{new}})(\mathbf{x}_n - \hat{\mu}_j^{\text{new}})^T$$

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## Applications of EM


- Turns out this is useful for all sorts of problems
  - Any clustering problem
  - Any model estimation problem
  - Missing data problems
  - Finding outliers
  - Segmentation problems
    - Segmentation based on color
    - Segmentation based on motion
    - Foreground/background separation
  - ...

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## Segmentation with EM

Original image



EM segmentation results



k=2      k=3      k=4      k=5

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## Summary: Mixtures of Gaussians, EM

- Pros**
  - Probabilistic interpretation
  - Soft assignments between data points and clusters
  - Generative model, can predict novel data points
  - Relatively compact storage
- Cons**
  - Local minima
    - k-means is NP-hard even with k=2
  - Initialization
    - Often a good idea to start with some k-means iterations.
  - Need to know number of components
    - Solutions: model selection (AIC, BIC), Dirichlet process mixture
  - Need to choose generative model
  - Numerical problems are often a nuisance

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- Segmentation and grouping
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  - Image segmentation
- Segmentation as clustering
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  - Feature spaces
- Probabilistic clustering
  - Mixture of Gaussians, EM
- **Model-free clustering**
  - Mean-Shift clustering

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## Finding Modes in a Histogram

- How many modes are there?
  - *Mode* = local maximum of the density of a given distribution
  - Easy to see, hard to compute

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## Mean-Shift Segmentation

- An advanced and versatile technique for clustering-based segmentation

Segmented "landscape 1"

Segmented "landscape 2"

<http://www.caip.rutgers.edu/~comanici/MSPAM/msPamiResults.html>

D. Comaniciu and P. Meer, *Mean Shift: A Robust Approach toward Feature Space Analysis*, PAMI 2002.

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## Mean-Shift Algorithm

- Iterative Mode Search
  1. Initialize random seed, and window  $W$
  2. Calculate center of gravity (the "mean") of  $W$ :  $\sum_{x \in W} x H(x)$
  3. Shift the search window to the mean
  4. Repeat Step 2 until convergence

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## Mean-Shift

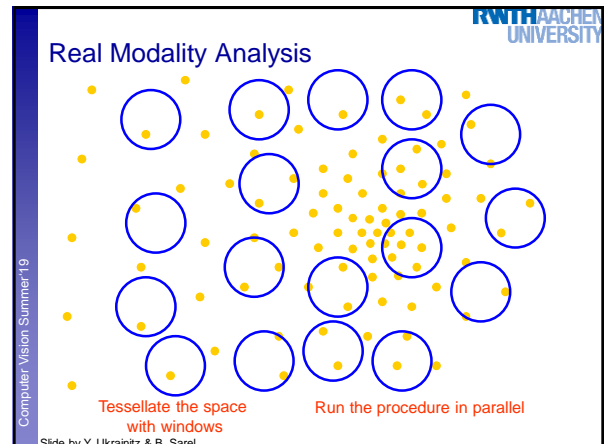
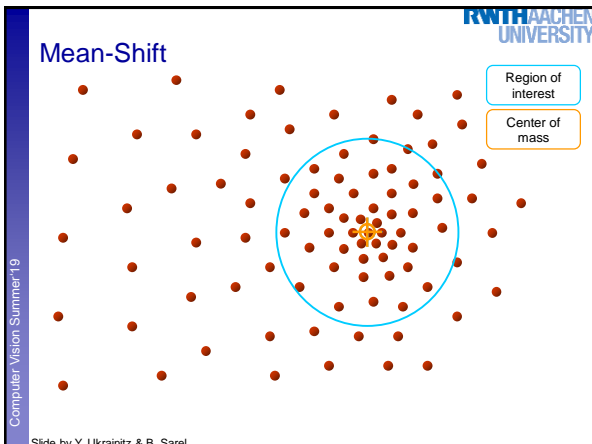
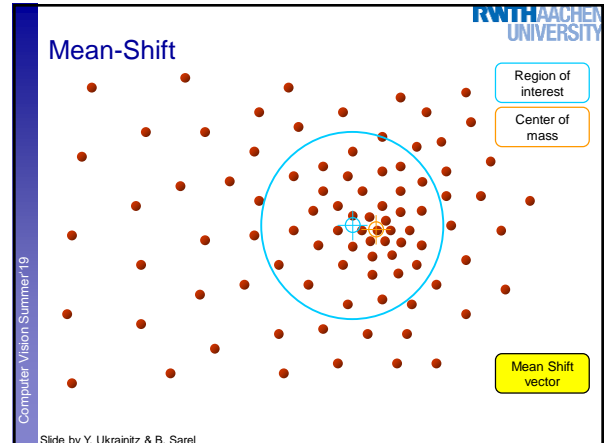
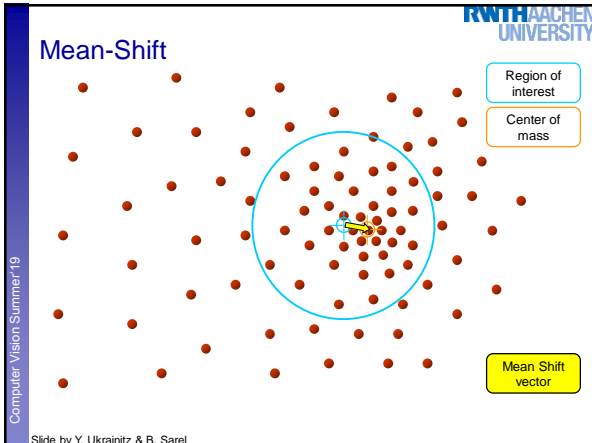
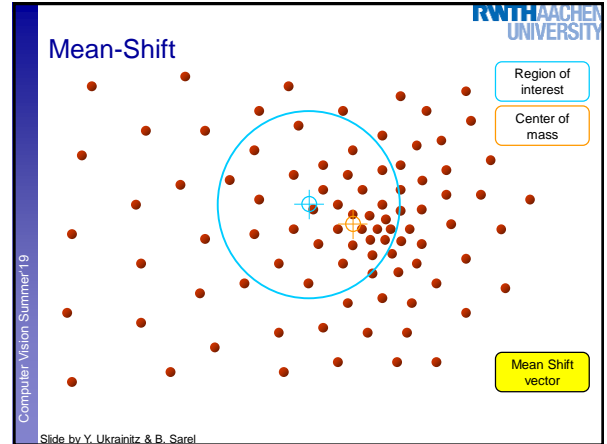
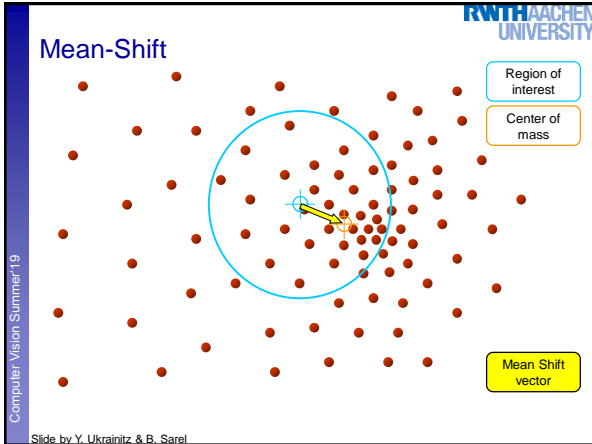
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## Mean-Shift

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## Real Modality Analysis

The blue data points were traversed by the windows towards the mode.

Slide by Y. Ukrainitz & B. Sarel

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## Mean-Shift Clustering

- Cluster: all data points in the attraction basin of a mode
- Attraction basin: the region for which all trajectories lead to the same mode

Slide by Y. Ukrainitz & B. Sarel

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## Mean-Shift Clustering/Segmentation

- Find features (color, gradients, texture, etc)
- Initialize windows at individual pixel locations
- Perform mean shift for each window until convergence
- Merge windows that end up near the same "peak" or mode

Slide credit: Svetlana Lazebnik

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## Mean-Shift Segmentation Results

<http://www.caip.rutgers.edu/~comanici/MSPAM/msPamiResults.html>

Slide credit: Svetlana Lazebnik

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## More Results

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## More Results

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### Problem: Computational Complexity

- Need to shift many windows...
- Many computations will be redundant.

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### Speedups: Basin of Attraction

1. Assign all points within radius  $r$  of end point to the mode.

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### Speedups

2. Assign all points within radius  $r/c$  of the search path to the mode.

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### Summary Mean-Shift

- **Pros**
  - General, application-independent tool
  - Model-free, does not assume any prior shape (spherical, elliptical, etc.) on data clusters
  - Just a single parameter (window size  $h$ )
    - $h$  has a physical meaning (unlike k-means)
  - Finds variable number of modes
  - Robust to outliers
- **Cons**
  - Output depends on window size
  - Window size (bandwidth) selection is not trivial
  - Computationally (relatively) expensive
  - Does not scale well with dimension of feature space

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### Segmentation: Caveats

- We've looked at *bottom-up* ways to segment an image into regions, yet finding meaningful segments is intertwined with the recognition problem.
- Often want to avoid making hard decisions too soon
- Difficult to evaluate; when is a segmentation successful?

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### Generic Clustering

- We have focused on ways to group pixels into image segments based on their appearance
  - Find groups; "quantize" feature space
- In general, we can use clustering techniques to find groups of similar "tokens", provided we know how to compare the tokens.
  - *E.g.*, segment an image into the types of motions present
  - *E.g.*, segment a video into the types of scenes (shots) present

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## References and Further Reading

- Background information on segmentation by clustering can be found in Chapter 14 of
  - D. Forsyth, J. Ponce, *Computer Vision – A Modern Approach*. Prentice Hall, 2003
- More on the EM algorithm can be found in Chapter 16.1.2.

