

# Computer Vision 2 — Exercise 1

## Generalized Lucas-Kanade Tracking & Kalman Filter

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# Content Exercise 1

- **Organisation:**
  - Exercises are not mandatory for exam participation
  - Complete solutions will be provided on L2P
- **Question 1: Generalized Lucas-Kanade Tracking**
  - Lucas-Kanade Optical Flow
  - Basic LK Template Tracking
  - Generalized LK Template Tracking
- **Question 2: Kalman Filter**
  - Constant velocity model



# Q1 a) Lucas-Kanade Optical Flow

- **Given:** two sequential input images  $I$  at different time steps  $t$  and  $t-1$

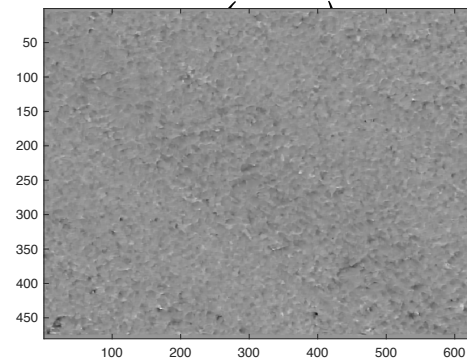
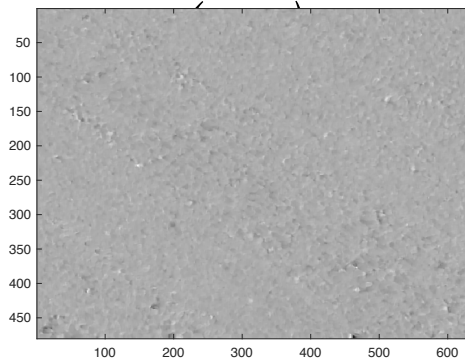
$I(x,y,t-1)$



$I(x,y,t)$

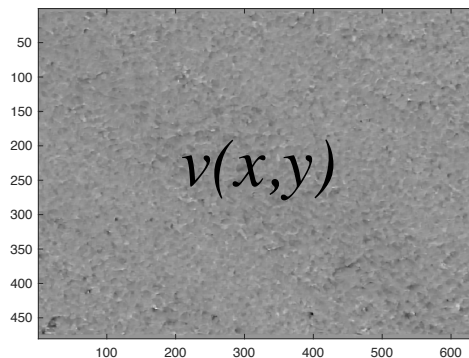
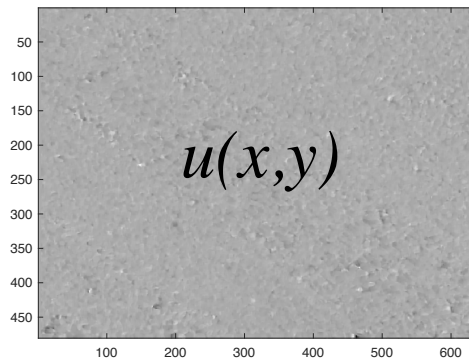


- **Goal:** estimate apparent motion  $u$  in horizontal and  $v$  vertical direction

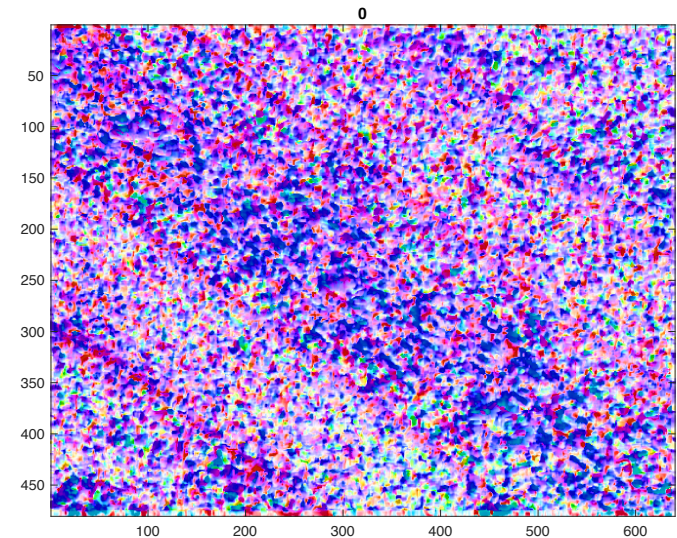


# Q1 a) Lucas-Kanade Optical Flow

- Display flow as color map:



$flow(x,y)$



## Q1 a) Lucas-Kanade Optical Flow

- Brightness Constancy Assumption:

$$I(x, y, t-1) = I(x + u(x, y), y + v(x, y), t)$$

- First order Taylor approximation:

$$I(x, y, t-1) \approx I(x, y, t) + I_x \cdot u(x, y) + I_y \cdot v(x, y)$$

- Rearranging:

$$I_x \cdot u + I_y \cdot v + I_t \approx 0$$

Spatial derivatives      Temporal derivative

# Q1 a) Lucas-Kanade Optical Flow

- Solve for  $u$  and  $v$ :

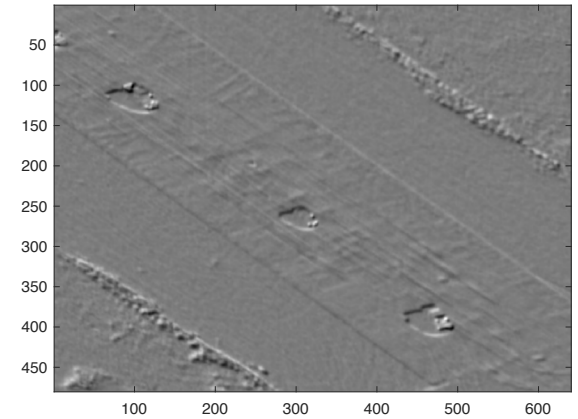
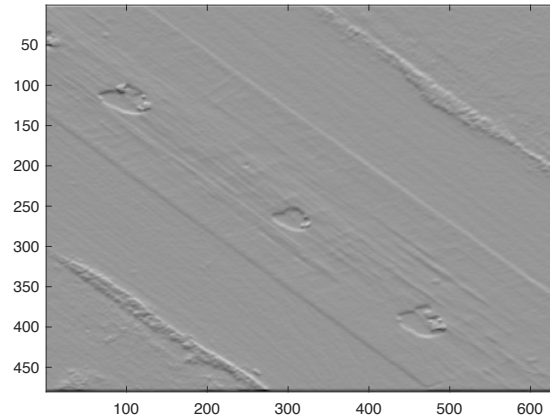
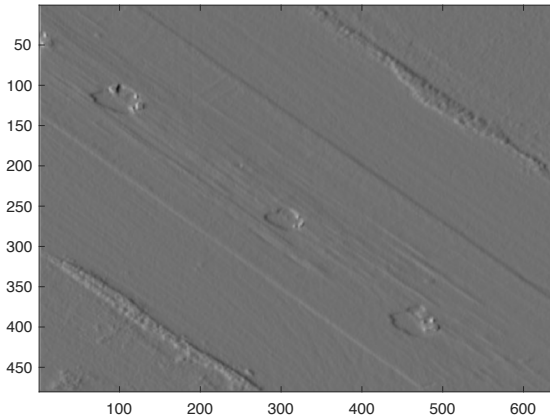
$$I_x \cdot u + I_y \cdot v + I_t \approx 0$$

Spatial derivatives      Temporal derivative

$$I_x = \frac{\partial I(x, y)}{\partial x}$$

$$I_y = \frac{\partial I(x, y)}{\partial y}$$

$$I_t = I(x, y, t) - I(x, y, t - 1)$$



# Q1 a) Lucas-Kanade Optical Flow

- Spatial coherence constraint:
  - Pixels in  $n \times n$  neighborhood move the same. Here  $n=5$ .

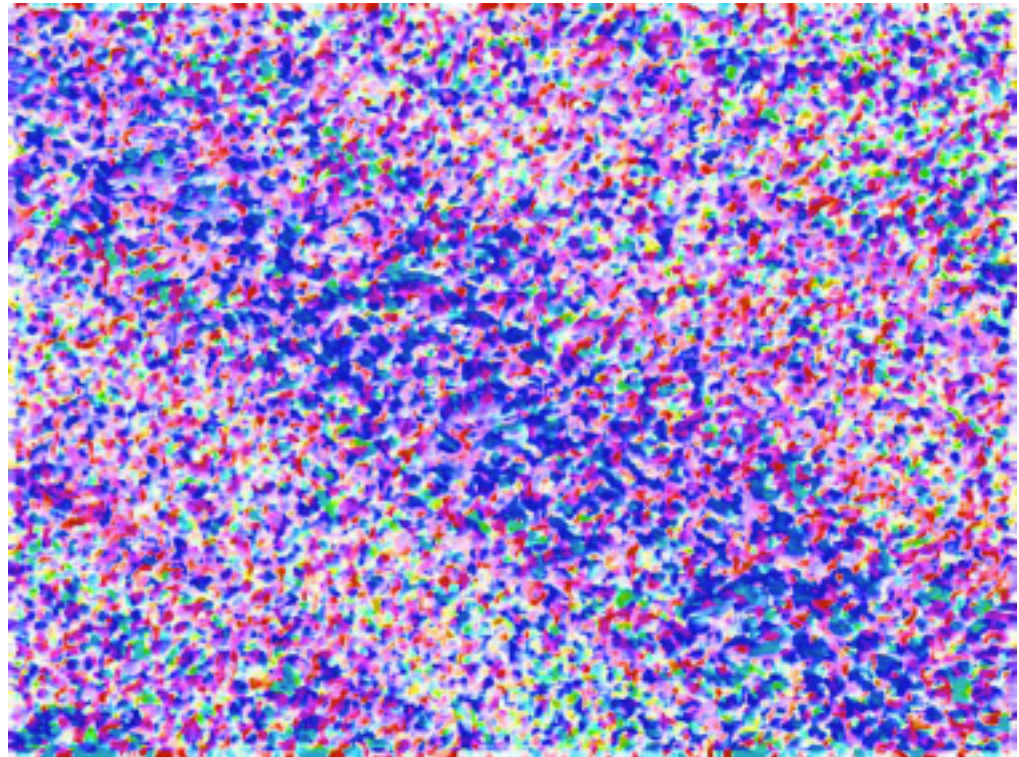
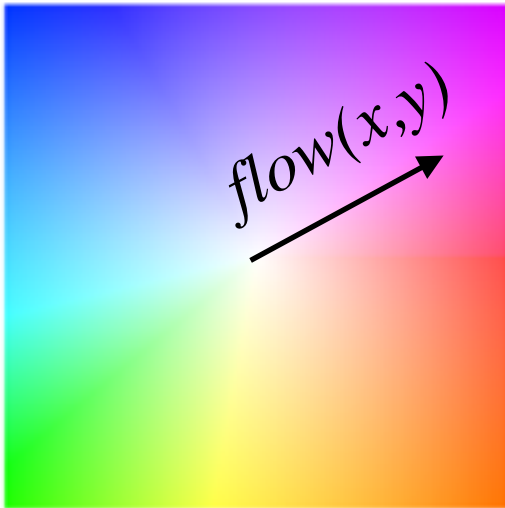
$$0 = I_t(\mathbf{p}_i) + \nabla I(\mathbf{p}_i) \cdot [u \ v]$$

$$\begin{bmatrix} I_x(\mathbf{p}_1) & I_y(\mathbf{p}_1) \\ I_x(\mathbf{p}_2) & I_y(\mathbf{p}_2) \\ \vdots & \vdots \\ I_x(\mathbf{p}_{25}) & I_y(\mathbf{p}_{25}) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} I_t(\mathbf{p}_1) \\ I_t(\mathbf{p}_2) \\ \vdots \\ I_t(\mathbf{p}_{25}) \end{bmatrix}$$

$$\boxed{\begin{matrix} A & d = b \\ 25 \times 2 & 2 \times 1 & 25 \times 1 \end{matrix}}$$

## Q1 b) Lucas-Kanade Optical Flow

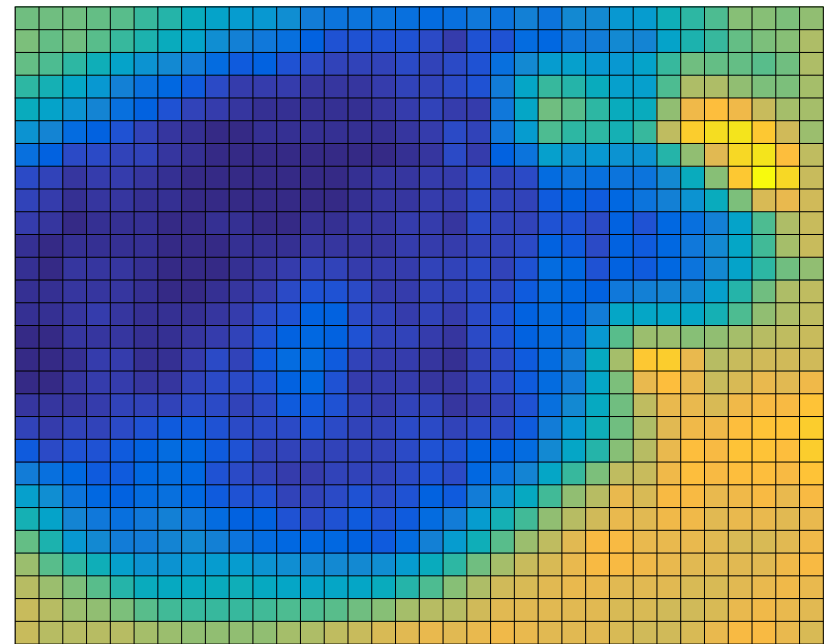
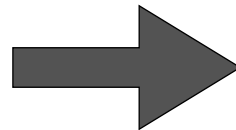
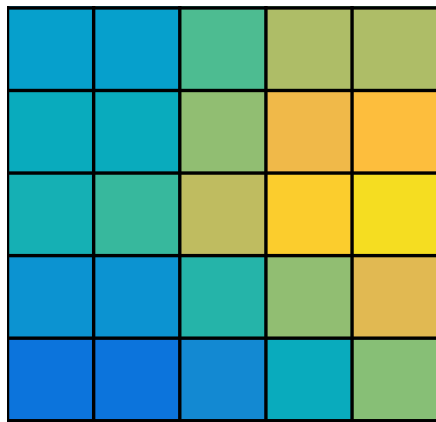
- Apply color map to estimated optical flow vector





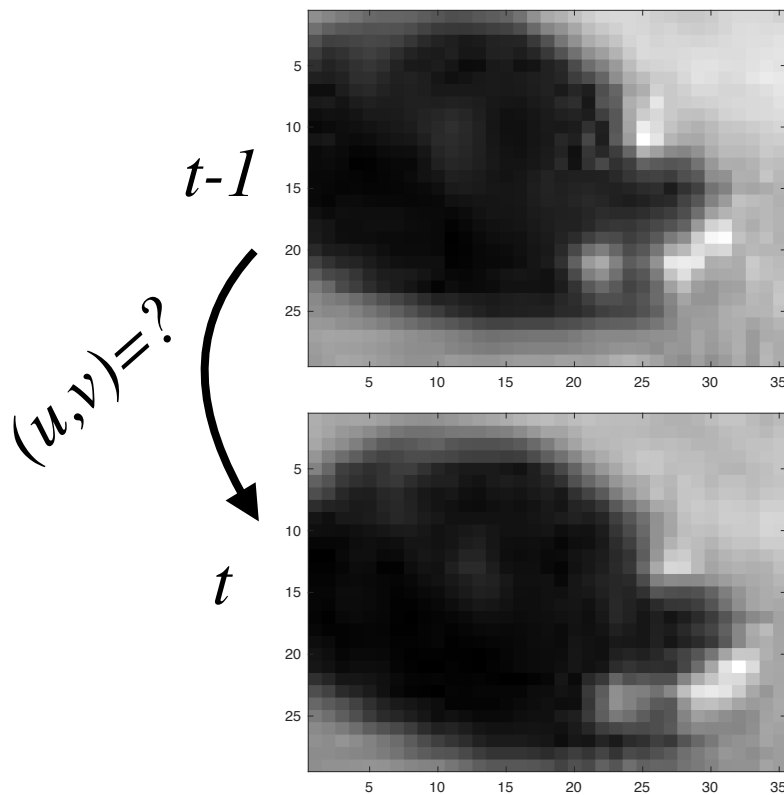
## Q1 c) Lucas-Kanade Template Tracking

- Replace 5x5 window with user-specified template window
- Compute flow-vector per template, not per pixel!



# Q1 c) Lucas-Kanade Template Tracking

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# Q1 c) Lucas-Kanade Template Tracking

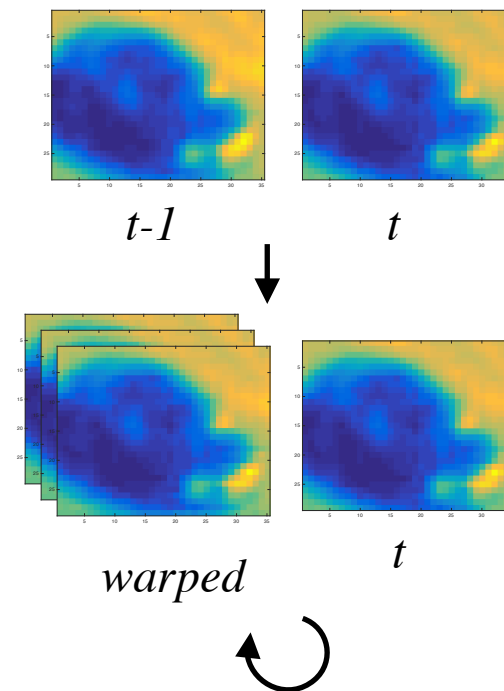
- Sum over pixels inside template-window
- Taylor expansion

$$\begin{aligned} E(u, v) &= \sum_{\mathbf{x}} [I(x + u, y + v) - T(x, y)]^2 \\ &\approx \sum_{\mathbf{x}} [I(x, y) + uI_x(x, y) + vI_y(x, y) - T(x, y)]^2 \\ &= \sum_{\mathbf{x}} [uI_x(x, y) + vI_y(x, y) + D(x, y)]^2 \quad \text{with } D = I - T \end{aligned}$$

$$\sum_{\mathbf{x}} \begin{bmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \sum_{\mathbf{x}} \begin{bmatrix} I_x D \\ I_y D \end{bmatrix} \Rightarrow \text{Solve via least-squares}$$

# Q1 c) Lucas-Kanade Template Tracking

- Iterative LK Refinement:
  - Compute flow between previous template position and current template position.
  - Refined by recomputing flow between on warped previous template position and current template position.
  - Repeat until convergence.



## Q1 c) Lucas-Kanade Template Tracking

- **Problem:** Assumption of pure translation for all pixels in a larger window is unreasonable for long periods of time.



## Q1 d) Generalized LK Template Tracking

- **Problem:** Assumption of pure translation for all pixels in a larger window is unreasonable for long periods of time.
- **Solution:** Allow arbitrary template transformation-model instead of only pure translation.

$$E(u, v) = \sum_{\mathbf{x}} [I(x + u, y + v) - T(x, y)]^2$$

Only translation (u,v)

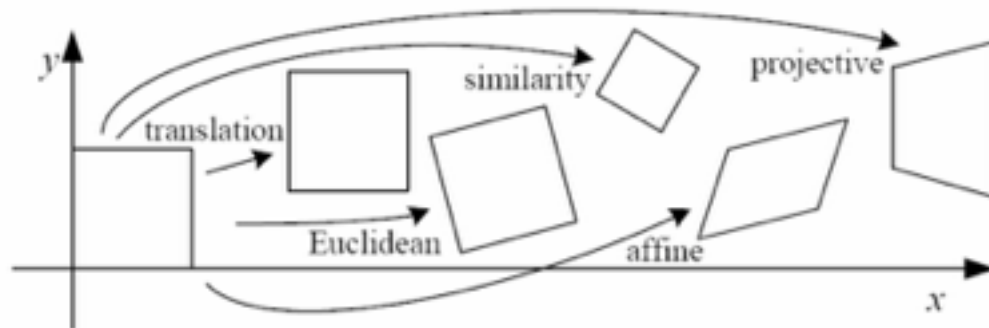
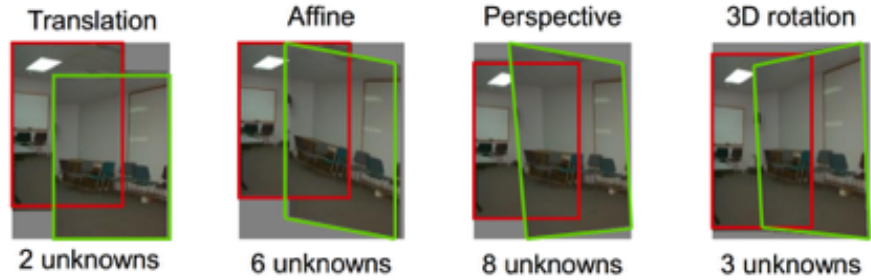
General transformation

$$E(\mathbf{p}) = \sum_{\mathbf{x}} [I(\mathbf{W}([x, y]; \mathbf{p})) - T([x, y])]^2$$

# Q1 d) Generalized LK Template Tracking

$$E(\mathbf{p}) = \sum_{\mathbf{x}} [I(\mathbf{W}([x, y]; \mathbf{p})) - T([x, y])]^2$$

parameters  $\mathbf{p}$  of transformation  $\mathbf{W}$   
are unknown



## Q1 d) Generalized LK Template Tracking

- Here, motion model: Rotation  $\Theta$  + Translation  $\mathbf{t} = (t_x, t_y)$ 
  - 3 parameters

$$\mathbf{W}([x, y]; \Theta, \mathbf{t}_x, \mathbf{t}_y) = \begin{bmatrix} \cos \Theta & -\sin \Theta & \mathbf{t}_x \\ \sin \Theta & \cos \Theta & \mathbf{t}_y \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

- Jacobian

$$\frac{\partial \mathbf{W}}{\partial \mathbf{p}} = \begin{bmatrix} 1 & 0 & -x \sin \Theta - y \cos \Theta \\ 0 & 1 & x \cos \Theta - y \sin \Theta \end{bmatrix}$$

Details: S. Baker, I. Matthews. Lucas-Kanade 20 Years On: A Unifying Framework. In IJCV, Vol. 56(3), pp. 221-255, 2004. **“The Inverse Compositional Algorithm”**



## Q1 d) Generalized LK Template Tracking



# Q1 e) Generalized LK Template Tracking



# Q1 e) Generalized LK Template Tracking



## Q2: Kalman Filter Tracking

- **Constant Velocity Model**

- State vector  $\mathbf{x} = [p_x, p_y, v_x, v_y]'$

Visible in frame

Hidden, not visible in single frame



- **Dynamic model matrix D**

- Predict next state  $\mathbf{x}_{t+1}$  based on current state  $\mathbf{x}_t$

- **Measurement matrix M**

- Extract the visible components from the state vector  $\mathbf{x}_t$

## Q2: Kalman Filter Tracking - Prediction

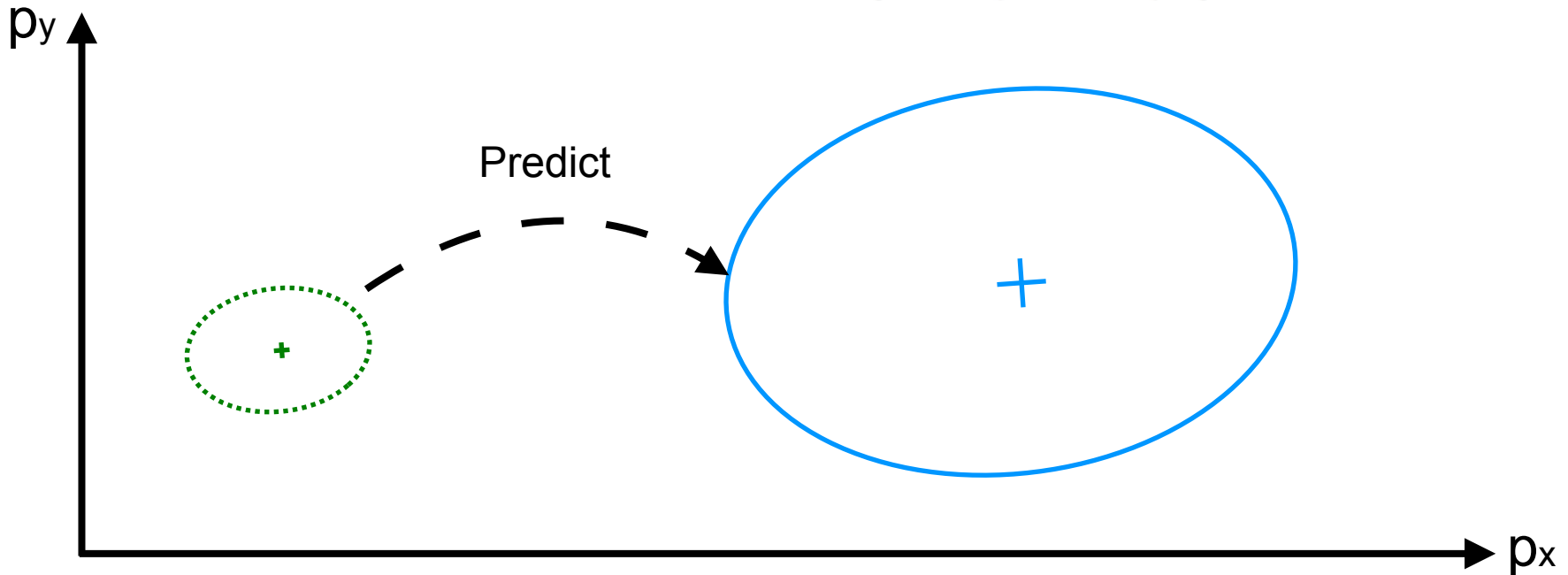
- **General Idea:** in each frame we have 2 steps

- 1) **Prediction**

$$\begin{aligned} x_t^- &= D_t x_{t-1}^+ \\ \Sigma_t^- &= D_t \Sigma_{t-1}^+ D_t^T + \Sigma_{d_t} \end{aligned}$$

- 2) **Correction**

$$\begin{aligned} K_t &= \Sigma_t^- M_t^T (M_t \Sigma_t^- M_t^T + \Sigma_{m_t})^{-1} \\ x_t^+ &= x_t^- + K_t (y_t - M_t x_t^-) \\ \Sigma_t^+ &= (I - K_t M_t) \Sigma_t^- \end{aligned}$$



## Q2: Kalman Filter Tracking - Measurement

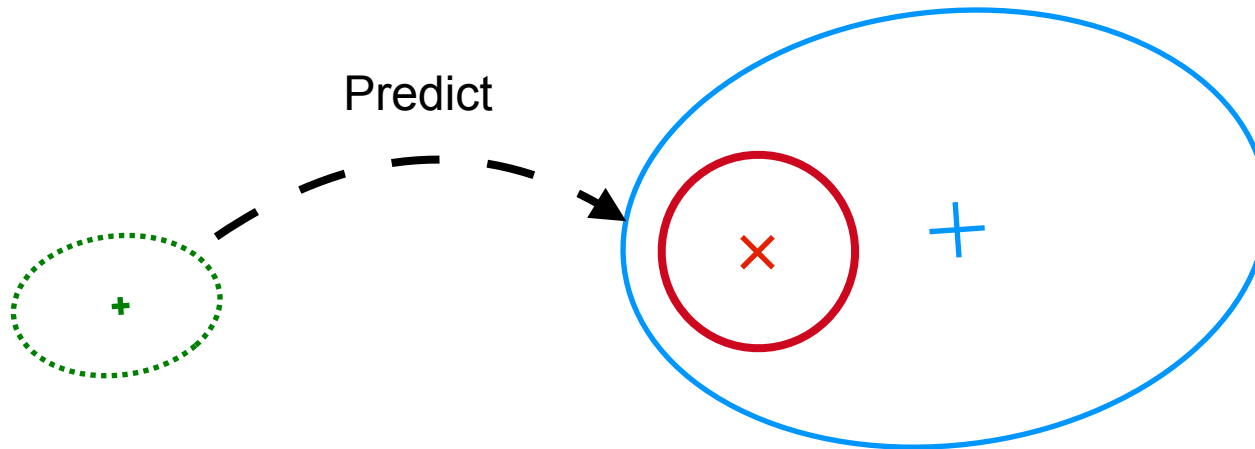
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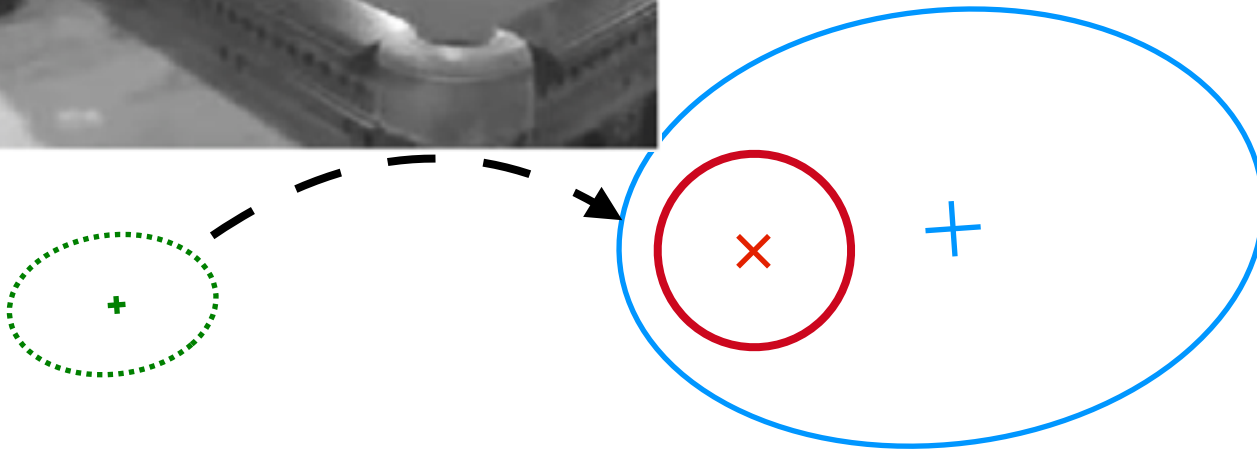
## Q2: Kalman Filter Tracking - Measurement



the 2 steps

### 2) Correction

$$K_t = \Sigma_t^- M_t^T (M_t \Sigma_t^- M_t^T + \Sigma_{m_t})^{-1}$$
$$x_t^+ = x_t^- + K_t (y_t - M_t x_t^-)$$
$$\Sigma_t^+ = (I - K_t M_t) \Sigma_t^-$$



## Q2: Kalman Filter Tracking - Correction

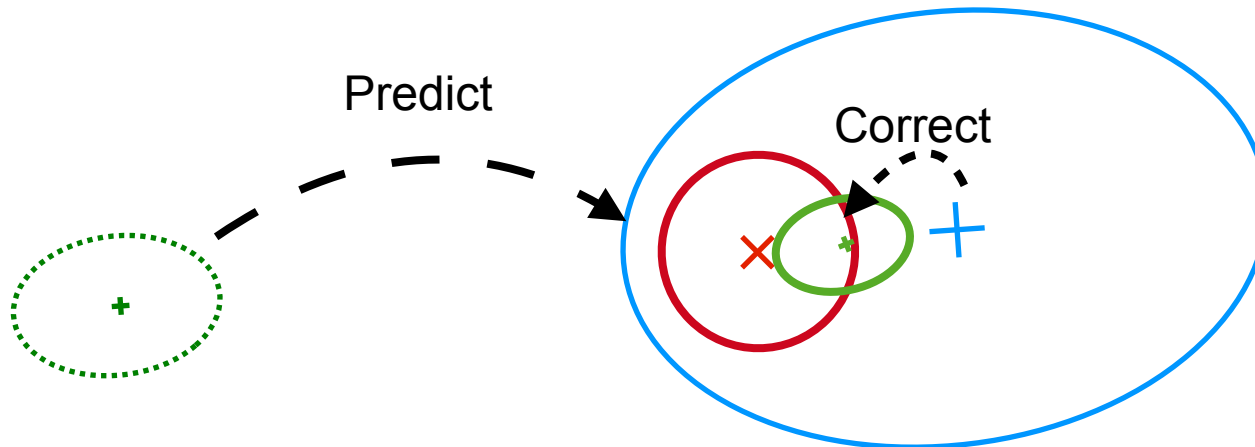
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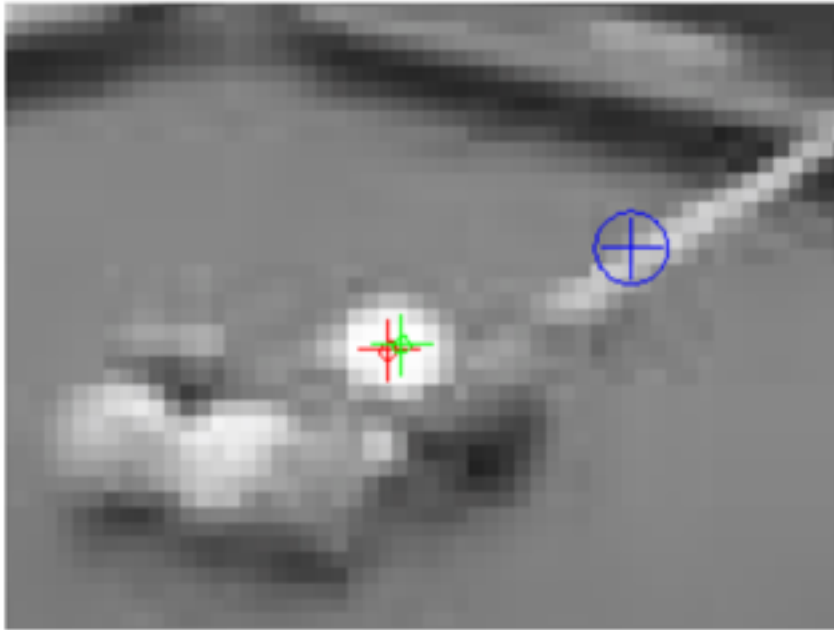
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## Q2: Kalman Filter Tracking - Correction



the 2 steps

### 2) Correction

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$$\Sigma_t^+ = (I - K_t M_t) \Sigma_t^-$$

